

02-21-02 A

PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [*Total Pages 72*] + cover page
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (*if filed*)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [*Total Sheets 1*]
5. Oath or Declaration [*Total Pages 2*]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation-in-part (CIP) of prior application No.: 09/748,071, filed December 21, 2000

Prior application information: Examiner: Unassigned Group / Art Unit: 1752

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

Application Number

Not Assigned Yet

First Inventor

Ratnam Sooriyakumaran

Title

SUBSTANTIALLY TRANSPARENT
AQUEOUS BASE SOLUBLE
POLYMER SYSTEM FOR USE IN
157 NM RESIST APPLICATIONS

Attorney Docket Number

YOR920000693US2

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (*if applicable*)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

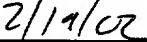
9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of Attorney (*when there is an assignee*)
11. English Translation Document (*if applicable*)
12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations (BP & BQ)
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)
15. Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
16. Other: Blanket Petition for Extension of Time and Authorization to Charge or Credit Deposit Account

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation-in-part (CIP) of prior application No.: 09/748,071, filed December 21, 2000

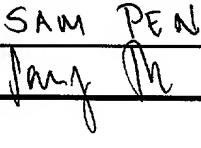
Prior application information: Examiner: Unassigned Group / Art Unit: 1752

18. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number of Bar Code Label		23980		or <input type="checkbox"/> Correspondence address below	
(Insert Customer No. or Attach bar code label here)					
Name	J. Elin Hartrum				
Address	REED & ASSOCIATES				
	800 Menlo Avenue, Suite 210				
City	Menlo Park	State	CA	Zip Code	94025
Country	USA	Telephone	(650) 330-0900	Fax	(650) 330-0980
Name (Print/Type)	J. Elin Hartrum	Registration No. (Attorney/Agent)		43,663	
Signature				Date	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commission for Patents, Box Patent Application, Washington, DC 20231.

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to "Commissioner for Patents, Box Patent Application, Washington, DC 20231."			
"Express Mail" Mailing Label No.	EL 910 311 355 US	Date of Deposit	02-19-07
Name of Person Mailing Paper or Fee (Print/Type)			
Signature		Date	02-19-07

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(Modified) PTO/SB/05 (12/97)

"Express Mail" Mailing Label No.: EL 910 311 355 US

Date of Deposit: February 19, 2002

Type/Printed Name of Person Mailing Paper or Fee: SAM PEN

Signature of Person Mailing Paper or Fee: Sam Pen

FEE TRANSMITTAL				Complete if Known						
				Application Number		Not Assigned Yet				
				Filing Date		Filed Herewith				
				First Named Inventor		Ratnam Sooriyakumaran				
				Group Art Unit		Not Assigned Yet				
				Examiner Name		Not Assigned Yet				
TOTAL AMOUNT OF PAYMENT		(\$1,710.00)		Attorney Docket Number		YOR920000693US2				
METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)						
1. <input checked="" type="checkbox"/> The commissioner is hereby authorized to charge any additional fees and credit any overpayments to: Deposit Account No.: 18-0580 Deposit Account Name: REED & ASSOCIATES				3. ADDITIONAL FEES						
— Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17				Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)			
				105	130	205	65			
				127	50	227	25			
				139	130	139	130			
				147	2,520	147	2,520			
				112	920*	112	920*			
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other				113	1,840	113	1,840			
				115	110	215	55			
				116	400	216	200			
				117	920	217	460			
				118	1,440	218	720			
				128	1,960	228	980			
				119	320	219	160			
				120	320	220	160			
				121	280	221	140			
				138	1,510	138	1,510			
				140	110	240	55			
				141	1,280	241	640			
				142	1,280	242	640			
				143	460	243	230			
				144	620	244	310			
				122	130	122	130			
				123	50	123	50			
				126	240	126	180			
				581	40	581	40			
2. CLAIMS				Fee from						
Total Claims <u>67</u> - 20 = <u>47</u>				Extra <u>X</u> below <u>\$18.00</u>	Fee Due					
Independent Claims <u>4</u> - 3 = <u>1</u>				<u>\$ 84.00</u>	<u>\$ 84.00</u>					
Multiple Dependent Claims <u>—</u>				<u>X</u> <u>—</u>						
Large Entity Fee Code (\$)				Fee Description						
103 18 203 9				Claims in excess of 20						
102 84 202 42				Independent Claims in excess of 3						
104 280 204 140				Multiple Dependent Claim						
109 84 209 42				Reissue independent claims over original patent						
110 18 210 9				Reissue claims in excess of and over original patent						
				SUBTOTAL (2)	\$930.00	*Reduced by Basic Filing Fee Paid				
						SUBTOTAL (3) \$ 40.00				
SUBMITTED BY								Complete (if applicable)		
Typed or Printed Name		<u>J. Elin Hartrum</u>						Reg. Number	43,663	
Signature		<u>J. Elin Hartrum</u>			Date	<u>2/19/02</u>			Deposit Account	18-0580